

Customer Privacy Notice

At Seaboard Securities, Inc., protecting the privacy of customer information is one of our most fundamental responsibilities. This Notice summarizes our policy regarding the confidentiality and disclosure of customer information. We do not sell Nonpublic Personal information to anyone and only share such information with others as stated in this Notice.

Confidentiality and Security Procedures

We take steps to safeguard customer information. We restrict access to your personal and account information to only those employees who need to know the information to provide products and services to you. We emphasize the importance of confidentiality through employee training, operating procedures, and our privacy policy. We also maintain physical, electronic, and procedural safeguards that comply with federal standards to guard your Nonpublic Personal information. For example, we employ encryption and multi player password protected applications. We also use locked file cabinets, password protected computer access, and vaults.

The Information We Collect

We may collect Nonpublic Personal Information about you from the following sources:

- Your account opening documentation, application or other forms (e.g., name, address, phone number, social security number, and date of birth);
- Your transactions with us, our affiliates, or others;
- Information from non-affiliated third parties (e.g., credit history); and
- Information for special services such as bill payment

The Information We Disclose

We may disclose your Nonpublic Personal Information, as described above, to an Affiliated Third Party including investment advisors, banks and broker dealers. We may also disclose the above information to Non-Affiliated Third Parties to assist us in servicing your account with us; to securities regulators upon request to others pursuant to subpoena; or as otherwise permitted by law. Even if you decide to close your account(s) or your account becomes inactive, we will continue to adhere to the privacy policies.

Key Terms

- Nonpublic Personal Information is personally identifiable financial information that a customer provides to a financial institution; results from a transaction with the customer; or is otherwise obtained by the financial institution. For example, nonpublic personal information includes information regarding your account value and investment history.
- An Affiliated Third Party is any company related or affiliated by common ownership, or affiliated by corporate control or common corporate control, with another company.
- A Non-Affiliated Third Party is an entity that is not part of our corporate family.

Please direct any questions or comments about this Notice to your Investment Representative. Or contact the home office at the address and telephone numbers below.

